

# ADVISOR SUMMIT

FEBRUARY 7, 2017 | BEVERLY HILLS, CA | THE BEVERLY HILTON

CFP BOARD



EVENT ACCEPTED FOR 3.5 CONTINUING EDUCATION CREDITS

## CEF FUNDAMENTALS: BUILDING INCOME

|                     |  |
|---------------------|--|
| 8:30 - 9:00 AM      | <b>Registration</b>  |
| 9:00 - 9:10 AM      | <b>Welcome</b><br>Michael Hedstrom, Director — CEFA<br>Jon Diorio, Managing Director — BlackRock   |
| 9:10 - 10:00 AM     | <b>CEF Trends &amp; Opportunity: Incorporating CEFs in Your Portfolio</b><br><i>Moderator: Jon Diorio, Managing Director — BlackRock</i><br>Anne Kritzmire, Managing Director, Closed-End Funds & Global Structured Products — Nuveen Investments<br>Jonathan Morgan, President & CEO — Canadian General Investments, Ltd.   |
| 10:00 - 10:50 AM    | <b>Finding Income in a Low-Yield Environment</b><br>Chris Kilpatrick, Portfolio Manager — Western Asset (Legg Mason)<br>F. Barry Nelson, CFA, Partner — Advent Capital Management<br>Purva Patel, CIMA®, Senior Vice President, Client Portfolio Manager — Nuveen Investments  |
| 10:50 - 11:00 AM    | <b>Break</b>   |
| 11:00 AM - 12:15 PM | <b>Exploring Alternative Investment Opportunities</b><br><br><b>Real Assets/Infrastructure</b><br>Larry Antonatos, Managing Director, Portfolio Manager — Brookfield Investment Management<br><br><b>Energy &amp; MLPs</b><br>Brian Sulley, Vice President — Tortoise Capital Advisors<br><br><b>BDCs</b><br>Thomas Alonso, CFA, Vice President of Investor Relations — Prospect Capital Corporation<br><br><b>Emerging Markets</b><br>TBD — Aberdeen Asset Management |



12:15 - 1:00 PM  
*Luncheon*

Keynote Address:

## Where Are We Going? Central Bank Policy and Global Growth

John Bellows, PhD, CFA, Portfolio Manager — Western Asset (Legg Mason)



### Conclusion

### Continuing Education Credit Certification

At the conclusion of the event, continuing education participants will receive a certificate of completion. This event has been accepted for 3.5 CE Credit hours by the CFP Board and by IMCA for credit towards the CIMA®, CIMC® and CPWA® certifications.

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