

# ADVISOR SUMMIT

FT. LAUDERDALE, FL | NOVEMBER 16, 2016

Event accepted for 3 Continuing Education Credits

CFP BOARD



## Discovering Income — Exploring Opportunities

The **Advisor Summit on Closed-End Funds (CEFs)** is the event for financial advisors who want to know more about how to take advantage of the unique investment opportunities of CEFs to build income for their clients. This event will provide an in-depth look at the current market and how to use CEFs to find potential value and achieve income.

### 8:30 - 9:00 AM Registration

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### 9:00 - 9:10 AM Welcome

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**Michael Hedstrom**, Director — CEFA

**Anne Kritzmire**, Managing Director, Closed-End Funds & Global Structured Products — Nuveen Investments

### 9:10 - 10:10 AM The Role and Benefits of CEFs for Today's Investor

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This conversation will highlight the benefits of the closed-end capital structure and dispel myths and misconceptions about CEFs. Get insight on what to look for when researching and evaluating CEFs. Hear how the use of leverage and the opportunity to buy at a discount can boost regular income and provide additional value.

*Moderator:* **Anne Kritzmire**, Managing Director, Closed-End Funds & Global Structured Products — Nuveen Investments

**Renee Baker**, Senior Manager, CEF Marketing & Investor Relations — Aberdeen Asset Management

**Stephen Minar**, Vice President — BlackRock

**Jonathan Morgan**, President & CEO — Canadian General Investments, Ltd.

### 10:10 - 11:00 AM Generating and Managing an Income Stream for Your Clients

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Many investors seek the stable and attractive income generated by bonds, but with today's low rate environment and concerns about rising interest rates, where are the opportunities and where are the risks? In this session you will hear about strategies that can help generate income for clients, as well as about the closed-end fund structure and use of leverage with these asset strategies.

*Moderator:* **Amy Charles**, Managing Director, CEF & ETF Research — Raymond James

**Gary Herbert**, CFA, Portfolio Manager & Head of Global Credit — Brandywine Global (Legg Mason)

**F. Barry Nelson**, CFA, Partner — Advent Capital Management

**Purva Patel**, CIMA®, Senior Vice President, Client Portfolio Manager — Nuveen

### 11:00 - 11:10 AM Break



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11:10 AM - 12:00 PM

## Alternative Strategies in the CEF Space

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CEFs provide access to a wide variety of investment strategies, including longer-term, less liquid securities or markets due to their stable capital structure. Find out how real asset strategies can provide yield, growth and diversification within a closed-end fund structure, how BDC investments may be a potentially strong addition to an income portfolio as they provide access to emerging growth companies before they go public, and hear about opportunities for income and growth beyond the U.S. borders.

*Moderator:* **Mariana Bush**, CFA, Head of CEF/ETF Research — Wells Fargo Advisors

**Thomas Alonso**, CFA, Vice President of Investor Relations — Prospect Capital Corporation

**Larry Antonatos**, Managing Director, Portfolio Manager — Brookfield Investment Management

**Steven Burton**, CFA, Co-CIO & Senior Global Portfolio Manager — CBRE Clarion Securities

12:00 PM

## Networking and Lunch

Conclusion

## Continuing Education Credit Certification

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At the conclusion of the event, continuing education participants will receive a certificate of completion. This event has been accepted for 3 CE Credit hours by the CFP Board and by IMCA for credit towards the CIMA<sup>®</sup>, CIMC<sup>®</sup> and CPWA<sup>®</sup> certifications.

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